

4. **Amendments**

☐ A Preliminary Amendment is enclosed.

5. **Information Disclosure Statement**

☐ An Information Disclosure Statement is submitted herewith.

6. **Fee Calculation (37 CFR 1.16)**

CLAIMS AS FILED			
Number Filed	Number Extra	Rate	Basic Fee
			\$ 750.00
Total Claims 34	14	x \$ 18.00	\$ 252.00
Independent Claims 2	0	x \$ 84.00	\$.00
Multiple dependent claim(s), if any		+ \$280.00	\$.00
Filing Fee Calculation			\$ 1002.00

7. **Small Entity Status**

☐ Applicant hereby asserts status as a Small Entity under 37 C.F.R. §1.27

8. **Inventorship Statement**

(a) With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC 120, the inventor(s) in this application is (are):

☒ the same

☐ less than those named in the prior application. It is requested that the following inventors identified above for the prior application be deleted:

(b) The inventorship for the claims in this application is:

☒ the same.

☐ not the same. And an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

9. **Assignment**

☒ The prior application is assigned of record to
Fisher & Paykel Healthcare Limited, 78 Springs Road, East Tamaki, Auckland,
New Zealand



10. **Fee Payment Being Made At This Time**

- ☒ basic filing fee: \$ 1002.00
☐ recording assignment (\$40.00; 37 CFR 1.21(h))
(See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW
PATENT APPLICATION".)
☐ processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l)).

11. **Method of Payment of Fees**

- ☒ A check in the amount of \$1002.00

12. **Authorization To Charge Additional Fees**

- ☒ The Commissioner is hereby authorized to charge the following additional fees
which may be required by this paper and during the entire pendency of the
application to Account No. 12-2252.
☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
☒ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
☐ 37 CFR 1.17 (application processing fees)

13. **Power of Attorney**

- ☒ The power of attorney in the prior application is to
Raiford A. Blackstone, Jr., Reg. No. 25,156
a. ☒ The power appears in the original papers in the prior application.
Enclosed herewith is a copy of the Power as originally filed on July 26,
2001.
b. ☐ Because the power does not appear in the original papers, a copy of the
power in the prior application is enclosed.
c. ☐ A new power has been executed and is attached.
d. ☒ Address all future communications to:
Raiford A. Blackstone, Jr.
Trexler, Bushnell, Giangiorgi,
Blackstone & Marr, Ltd.
105 W. Adams Street
Suite 3600
Chicago, Illinois 60603-6299
(312) 704-1890

14. **Petition for Extension of Time in Prior Application**


- ☐ A petition for extension of time and an amendment was filed on _____
with the pending parent application to extend the date for response up to and
including _____.
☐ A copy of the petition for extension of time in the pending parent application is
attached.

Respectfully submitted,

TREXLER, BUSHNELL, GIANGIORGI,
BLACKSTONE & MARR, LTD.

Attorney for Applicant

Date: July 18, 2003

By: 
Raiford A. Blackstone, Reg. No. 25,156
Linda L. Palomar, Reg. No. 37,903
Trexler, Bushnell, Giangiorgi,
Blackstone & Marr, Ltd.
105 W. Adams St., 36th Floor
Chicago, Illinois 60603
(312) 704-1890

531007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Certificate of Mailing by "Express Mail"
Express Mailing No.: EV 326284634US
Date of Deposit: July 18, 2003
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" Under 37 C.F.R. §1.10 on the date indicated above addressed to Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450.
Jerry E. Sexton
Jerry E. Sexton



BOX PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF FILING UNDER 37 CFR 1.53(b)

1. **Type of Application**

This new application for a

☐ Continuation

☒ Divisional

application under 37 CFR 1.53(b), of pending prior application Serial No. 09/850,797, which was filed on May 8, 2001, of Daniel John Smith, Gavin Walsh Millar, Kevin Blake Powell and David Peter Baldwin and entitled "AN EXPIRATORY LIMIT FOR A BREATHING CIRCUIT".

2. **Benefit of Prior U.S. Application(s)**

☒ The new application being transmitted claims the benefit of prior U.S.

application(s).

☒ Amend the specification by inserting on the first page, after the title:

This application is a divisional application of Serial No. 09/850,797, filed on May 8, 2001, and entitled "An Expiratory Limit For A Breathing Circuit".

3. **Papers Enclosed**

☒ 22 pages of specification

☒ 5 page of claims

☒ 1 page of Abstract

☒ 9 sheets of **formal** drawings

☒ 2 pages of *executed* declaration and power of attorney.

☒ 2 pages of an Application Data Sheet